BEFORE THE NEVADA STATE BOARD OF OSTEOPATHIC MEDICINE

IN A MATTER INVOLVING
NEIL SUPERFON, D.O.,
License No. 237,
RESPONDENT.

Case No.: MA1101001

NV STATE BOARD OF OSTEOPATHIC MEDICINE

SETTLEMENT AGREEMENT AND ORDER

I. PARTIES

This Settlement Agreement and Order ("Agreement and Order") is made by and between Paul Edwards, Esq., Investigative Board Member ("Mr. Edwards" or "Investigative Board Member") for the Nevada State Board of Osteopathic Medicine ("the Board") through the Board's Counsel/Executive Director, Dianna Hegeduis, Esq., and Neil Superfon, D.O. ("Dr. Superfon") (collectively referred to as "the Parties").

II. RECITALS

As a preamble to this Agreement, the Parties agree to the following:

A. WHEREAS, the Board, through the Investigative Board Member, ascertained certain information regarding litigation filed against Dr. Superfon. The information was ascertained as a result of the Board's staff due diligence in investigating its applicants/licensees.

B. WHEREAS, the Investigative Board Member ("IBM") has alleged as follows:
   (1) Re: patients "DM" and "EM." The Respondent failed to timely notify this Board of the commencement of the litigation concerning the patients. The renewal application did contain information regarding the status of these cases. The IBM has alleged the failures to report, however, are violations of NRS chapter 633 as discussed hereinafter.

C. WHEREAS, NRS 633.527(1) states that a licensed "osteopathic physician shall report to the Board: (a) any action for malpractice against the osteopathic physician not later than 45 days after the osteopathic physician receives service of a summons and complaint for the action; (b) any claim for malpractice against the osteopathic physician that is submitted to arbitration or mediation not later than 45 days after the claim is submitted to arbitration or mediation; (c) any settlement, award, judgment or other disposition or any action or claim described in paragraphs (a) or (b) not later than 45 days after the settlement, award, judgment or other disposition; and (d) any sanctions imposed against the osteopathic physician that are reportable to the National Practitioner Data Bank not later than 45 days after the sanctions are imposed. NRS 633.527(2) states that should the Board find "that an osteopathic physician has violated any provision of this section, the Board may impose a fine of not more than $5,000"
against the osteopathic physician **for each violation, in addition to any other fines or penalties permitted by law.**" (Emphasis added.) This statute was added to the law in 2003. By the use of the word “shall” by the Nevada State Legislature in this statute, the Legislature intended to mandatorily require all osteopathic physicians to report any of the four (4) events mentioned in the statute.

D. **WHEREAS**, NRS 622.400(1) states that a “regulatory body [such as this Board] may recover from a person reasonable attorney’s fees and costs that are incurred by the regulatory body as part of its investigative, administrative and disciplinary proceedings against the person if the regulatory body” either enters a final order or enters into a settlement agreement.

E. **WHEREAS**, the parties have agreed to settle this matter, rather than requiring the Board to file a formal disciplinary complaint.

F. **WHEREAS**, the parties understand that this Agreement will be signed by the respective parties and will be offered to the Board for the Board’s approval at the next Board meeting, with the recommendation of the Investigating Board Member that this matter be settled. The Agreement shall not become effective until it has been approved by a majority of the Board and endorsed by a representative member of the Board.

G. **WHEREAS**, Dr. Superfon understands that the Board is free to accept or reject this Agreement and, if rejected by the Board, a formal disciplinary complaint may be filed and a hearing scheduled on the same. The Board members who review this matter for approval of this Agreement may be the same members who ultimately hear the disciplinary complaint if this Agreement is not approved by the Board. Dr. Superfon hereby agrees to waive any rights he might have to challenge the impartiality of the Board to hear the disciplinary complaint, based on prior knowledge obtained by the Board through consideration of this Agreement, if after review by the Board, this Agreement is rejected. Furthermore, if the Board does not accept the Agreement, it shall be regarded as null and void.

H. **WHEREAS**, Dr. Superfon acknowledges that the Board will retain jurisdiction over this matter until all terms and conditions set forth in this Agreement and Order have been met to the satisfaction of the Board.

I. **WHEREAS**, Dr. Superfon acknowledges that the Board had a reasonable basis to believe that the statutes and/or regulations regulating the practice of Osteopathic Medicine in the State of Nevada may have been violated.

J. **WHEREAS**, in order to resolve the matter prior to it becoming a disciplinary proceeding and to save further costs and expenses, Dr. Superfon has elected to enter into this Agreement to resolve this matter, and this matter only.

K. **WHEREAS**, Dr. Superfon acknowledges that once accepted by the Board, this Agreement and all associated documentation become a matter of public record (with the exception of medical information related to the patient).

L. **WHEREAS**, Dr. Superfon has had the opportunity to obtain the advice from competent counsel of his choice concerning the terms and conditions of this Agreement and the execution thereof. No coercion has been exerted upon Dr. Superfon, nor have any promises been made other than those reflected in this Agreement. Dr. Superfon freely and voluntarily entered into this agreement, motivated only by a desire to resolve the issues addressed herein. Dr. Superfon has executed this Agreement only after a careful reading of it and a full understanding of all its terms.

M. **WHEREAS**, Dr. Superfon is fully aware of his rights to contest the charges pending against him. These rights include: representation by an attorney at his own expense, the right to a public hearing on any charges or allegations filed, the right to confront and cross-examine witnesses called to testify against him, the right to present evidence on
his own behalf, the right to compulsory process to secure the attendance of such
witnesses, the right to testify on his own behalf, the right to receive written findings of
fact and conclusions of law supporting the decision on the merits of the complaint and
the right to obtain judicial review of the Board’s decision. Should the Board accept this
Agreement, Dr. Superfon voluntarily waives these rights.
N. WHEREAS, this Agreement and Order contains a complete description of the
agreement between the parties and it supersedes any previous agreements between
the parties. All material representations, understandings and promises of the parties
are contained in this Agreement. Any modifications must be set forth in writing, signed
by all the parties, and approved by the Board.

III. TERMS OF THE AGREEMENT

A. Dr. Superfon acknowledges that if the failures to report allegations are true, then
each such act would be a violation of NRS chapter 633 for which discipline is
permissible under Nevada law. Dr. Superfon further acknowledges that if non-
reporting occurred such was not an intentional act on his part but was merely an
administration error. In exchange for the Board not pursuing an administrative
action, and Dr. Superfon not pursuing subsequent reviews by the appropriate
appellate Courts, the parties have agreed to resolve the current matter, and all
issues related thereto. ADDITIONALLY, THE BOARD ACKNOWLEDGES, AND
THE PARTIES DO HEREBY AGREE, THAT A DISCIPLINARY ACTION WILL
NOT AND HAS NOT BEEN FILED BY THE BOARD; NOR IS THIS SETTLEMENT
AGREEMENT TO BE CONSIDERED A DISCIPLINARY ACTION. THE PARTIES
HAVE SIMPLY AGREED TO AN ADMINISTRATIVE FINE BEING ASSESSED
FOR THE NON-REPORTING OCCURRENCES.

B. Dr. Superfon agrees to pay the sum of Five Hundred Dollars ($500.00) as the fine
imposed for having violated NRS 633.527(1). This sum includes all fees and costs
incurred by the Board up to and including the approval of this agreement by the
Board at its next scheduled board meeting pursuant to NAC 633.470(2)(b)(6). Such
amount shall be payable as follows: The first payment of $250.00 being due on the
15th day of ________, 2011, and the final payment of $250.00 being due on the
15th day of the following month, i.e., ______, 2011.

C. Should Dr. Superfon fail to satisfy and pay the indebtedness of $500.00 in a timely
manner as discussed herein, Dr. Superfon understands and agrees that he will be
considered in default of this Agreement, and this agreement will be null and void,
with the Respondent receiving credit for payments made to date. The Board may
take whatever action it deems appropriate, including but not limited to reducing the
balance to judgment pursuant to NRS chapter 353C.

D. The Respondent, Dr. Superfon, agrees to bear his own fees and costs, including the
fees and expenses of his own attorney(s) if applicable.

E. This Agreement and Order shall inure to the benefit of and be binding upon each of
the parties hereto and their respective heirs, personal representatives, assigns and
successors in interest of each party.

F. This Agreement and Order shall be construed in accordance with Nevada’s laws.

G. This agreement consists of five (5) pages and embodies the entire agreement
between the Board and the osteopathic physician. It may not be altered, amended
or modified without the express consent of the parties, and any subsequent
alteration or modification shall be in writing and subject to approval by the Board.
H. In consideration for the execution of this Agreement, Dr. Superfon hereby releases and forever discharges the State of Nevada, the Board of Osteopathic Medicine, and the Nevada Attorney General's Office (as Board counsel), and each of their representatives, investigators, and employees, in their individual and representative capacity (collectively the State of Nevada Agencies) from any and all manner of actions, causes of actions, suits, debts, judgments, executions, claims, and demands whatsoever known or unknown, in law and in equity, that he may have had, now has, may have had, or claim to have against any and all of the persons and entities named in this paragraph arising out of, or by reason of, the investigation of the allegations raised in this matter, and other matters relating thereto.

I. Dr. Superfon, for himself, his heirs, executors, administrators, successors and assigns, hereby indemnifies and holds harmless the State of Nevada, the Nevada State Board of Osteopathic Medicine, the Nevada Attorney General's office and each of their members, agents and employees in their individual and representative capacities against any and all claims, suits, demands, actions, debts, damages, costs, charges, and expenses, including court costs and attorney's fees against any persons or entities as well as all liability, losses, and damages of any nature whatsoever that the persons and entities named in this paragraph shall have or may at any time sustain or suffer by reason of this investigation or this settlement.

J. This document may be prepared in multiple counterparts. Each counterpart, whether it be originally typed, a carbon, photocopy, facsimile or other type of copy, shall be deemed an original hereof if executed by each of the Parties hereto.

K. LASTLY, by executing this agreement, Physician hereby expressly, knowingly, and intentionally waive the 21-working days notice requirement pursuant to Nevada laws and acknowledges that this Agreement shall be on the agenda for the Board's approval in the month of ____________, 2011 and the order of fulfillment on the Board's agenda for approval in the month of ____________, 2011.

NEIL SUPERFON, D.O.

By: ____________________________
    Osteopathic Physician

Dated: __________________________

NEVADA STATE BOARD OF
OSTEOPATHIC MEDICINE

BY: ____________________________
    Paul Edwards, Esq.
    Investigating Board Member
    Dated: 3/17/11

NEVADA STATE BOARD OF
OSTEOPATHIC MEDICINE

By: ____________________________
    Dianna Hegeduis, Executive Director -
    Board Counsel
    Dated: __________________________

ACKNOWLEDGEMENT

On this the _____ day of _________________, 2011, the said NEIL SUPERFON,
D.O., personally appeared before me, a notary public, and signed the above document, freely
H. In consideration for the execution of this Agreement, Dr. Superfon hereby releases and forever discharges the State of Nevada, the Board of Osteopathic Medicine, and the Nevada Attorney General's Office (as Board counsel), and each of their representatives, investigators, and employees, in their individual and representative capacity (collectively the State of Nevada Agencies) from any and all manner of actions, causes of actions, suits, debts, judgments, executions, claims, and demands whatsoever known or unknown, in law and in equity, that he may have had, now has, may have had, or claim to have against any and all of the persons and entities named in this paragraph arising out of, or by reason of, the investigation of the allegations raised in this matter, and other matters relating thereto.

I. Dr. Superfon, for himself, his heirs, executors, administrators, successors and assigns, hereby indemnifies and holds harmless the State of Nevada, the Nevada State Board of Osteopathic Medicine, the Nevada Attorney General's office and each of their members, agents and employees in their individual and representative capacities against any and all claims, suits, demands, actions, debts, damages, costs, charges, and expenses, including court costs and attorney's fees against any persons or entities as well as all liability, losses, and damages of any nature whatsoever that the persons and entities named in this paragraph shall have or may at any time sustain or suffer by reason of this investigation or this settlement.

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NEIL SUPERFON, D.O.

By: ____________________________
Osteopathic Physician
Dated: _________________________

NEVADA STATE BOARD OF
OSTEOPATHIC MEDICINE

BY: ____________________________
Paul Edwards, Esq.
Investigating Board Member
Dated: _________________________

NEVADA STATE BOARD OF
OSTEOPATHIC MEDICINE

By ____________________________
Dianne Hegeduis, Executive Director -
Board Counsel
Dated: _________________________

ACKNOWLEDGEMENT

On this the __________ day of ______________, 2011, the said NEIL SUPERFON, D.O., personally appeared before me, a notary public, and signed the above document, freely
and voluntarily, under no duress.

ORDER OF THE BOARD

IT IS SO ORDERED.

IT IS FURTHER ORDERED that should Dr. Superfon fail to comply with any terms or conditions of this Agreement, Dr. Superfon will be in breach of this Agreement; and this Agreement will be null and void. The Board may take whatever action it deems appropriate, including but not limited to proceeding with the administrative action against Dr. Superfon. Should this Agreement become null and void by Dr. Superfon’s failure to comply with terms or conditions of this Agreement, the Board may not only pursue an administrative action against Dr. Superfon, but the Board may also seek the maximum fees, fines, and costs.

DATED this 20th day of April, 2011.

NEVADA STATE BOARD OF OSTEOPATHIC MEDICINE

BY:  

Dr. Daniel K. Curtis, President of the Board
BEFORE THE NEVADA STATE BOARD OF
OSTEOPATHIC MEDICINE

IN A MATTER INVOLVING
NEIL SUPERFON, D.O.,
License No. 237,
RESPONDENT.

Case No.: MA1101

APR 13 2011
FILED

WRITTEN NOTICE OF ENTRY OF SETTLEMENT
AGREEMENT & ORDER

PLEASE TAKE NOTICE that the Nevada State Board of Osteopathic Medicine has
approved the settlement agreement entered into by Respondent, Neil Superfon, D.O., and the
Board; and a file-stamped copy of the same is attached hereto.

DATED THIS 13 day of April, 2011.

NEVADA STATE BOARD OF
OSTEOPATHIC MEDICINE

By
Dianna Hegeleis, Esq., Executive Director
901 American Pacific Dr., # 180
Henderson, NV 89014

CERTIFICATE OF MAILING

I hereby certify that on the 13 day of April, 2011, I served a copy of the above notice
with attached agreement/order upon the Respondent, addressed to him/her at the last known
address registered with the Board, postage thereon prepaid.

An employee of the NEVADA STATE BOARD OF
OSTEOPATHIC MEDICINE